

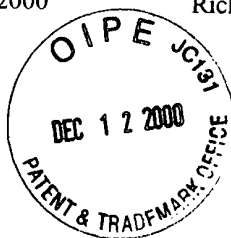


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/679,776 | 10/05/2000 | Richard D. Granstein | 2650/1F966-US1 |

Darby & Darby PC
805 Third Avenue
New York, NY 10022



FORMALITIES LETTER



OC00000005585576

Date Mailed: 11/30/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$420.
 - \$180 for 10 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1260.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/14/2000 HVUJH:61 00000016 09679776
01 FC:201 355.00
02 FC:205 65.00
03 FC:202 120.00
04 FC:203 90.00

11/29/00

SECTOR 8
#3 ✓

CERTIFICATE OF MAILING

I hereby certify that this paper or, if this paper is a transmittal letter, every other paper or fee referred to therein, is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents & Trademarks, Washington, DC 20231, on

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

December 8, 2000, (Date of Deposit)

12-8-00 J. Krassig
Date Name



Docket No.: 2650/1F966-US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard D. GRANSTEIN

Serial No.: 09/679,776

Art Unit: Unassigned

Filed: October 5, 2000

Examiner: Unassigned

For: PROTECTIVE IMMUNITY OR IMMUNOLOGICAL TOLERANCE INDUCED
WITH RNA, PARTICULARLY TOTAL CELLULAR RNA

Hon. Commissioner of
Patents and Trademarks
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Check in the amount of \$ 670.00, (\$565.00 filing; \$40.00 recording; \$65.00 late)
(See attached **Fee Computation Sheet**)
3. ☐ Formal drawings, sheets (Figs.)
☐ Informal drawings, sheets (Figs.)

4. [X] Assignment for recording to: Cornell Research Foundation, Inc.
5. [X] Verified statement claiming small entity status.
PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.
6. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

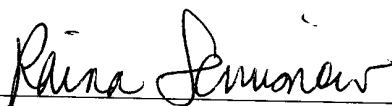
Country: U.S.
Number: 60/158,998
Date: October 7, 1999

Country: U.S.
Number: 60/198,145
Date: April 17, 2000

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: December 8, 2000


Raina Semionow
Reg. No. 39,022
Attorney for Applicant(s)

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212-527-7700

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Serial No. 09/679,776

Docket No. 2650/1F966-US2

PATENT FEE COMPUTATION SHEET

| | No. of Claims Presented | Extra Claims Previously Paid For | Number of Extra Claims | Rate |
|--|----------------------------|--|---------------------------|-----------|
| Basic Fee | | | | \$710.00 |
| Total Claims | 30 - 20 | 0 | 10 x \$18.00 | \$180.00 |
| Independent Claims | 6 - 3 | 0 | 3 x \$80.00 | \$240.00 |
| Multiple Dependent Claims | | - if so, add | \$270.00 | \$0.00 |
| Surcharge for late submission of filing fee and/or declaration (\$130.00) | | | | \$130.00 |
| SUBTOTAL | | | | \$1260.00 |
| [X] Small Entity REDUCTION (Half of Subtotal) | | | | \$630.00 |
| Fee for recordation of assignment (\$40.00) | | | | \$40.00 |
| Charge for filing non-English language application (\$130.00) | | | | \$0.00 |
| TOTAL | | | | \$670.00 |